

# A NOTE ON $k - \varepsilon$ MODELLING OF VEGETATION CANOPY AIR-FLOWS

## *Research Note*

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**Abstract.** The  $k - \varepsilon$  turbulence model is a standard of computational software packages for engineering, yet its application to canopy turbulence has not received comparable attention. This is probably due to the additional source (and/or sink) terms, whose parameterization remained uncertain. This model must include source terms for both turbulent kinetic energy ( $k$ ) and the viscous dissipation rate ( $\varepsilon$ ), to account for vegetation wake turbulence budget. In this note, we show how Kolmogorov's relation allows for an analytical solution to be calculated within the portion of a dense and homogeneous canopy where the mixing length does not vary. By substitution within model equations, this solution allows for a set of constraints on source term model coefficients to be derived. Those constraints should meet both Reynolds averaged Navier–Stokes equations and large-eddy simulation sub-grid scale turbulence modelling requirements. Although originating from within a limited portion of the canopy, the predicted coefficients values must be valid elsewhere in order to make the model capable of predicting the whole canopy-layer flow with a single set of constants.

**Keywords:** Turbid medium, Turbulence model, Turbulent kinetic energy, Vegetation canopy, Wakes.

## 1. Introduction

Two-equation turbulence models, such as the  $k - \varepsilon$  one, are derived from the so called 1.5-closure model, which shares the assumption of an isotropic diffusivity with first-order closure models. In fact, both models collapse (Detering and Etling, 1985) for the inertial-sublayer similarity. However, two-equation models do not suffer from the same limitations as first-order turbulence models. The 1.5-closure model for kinematic turbulent viscosity ( $\nu_t$ ) can be matched (Lee, 1996) with roughness sublayer measurements, while two-equation models have a multi-dimensional modelling ability (Claussen, 1988). For these reasons, the  $k - \varepsilon$  turbulence model is available within most software packages for turbulent flow computations. In addition, the  $k - \varepsilon$  model can be used for large-eddy simulation (LES) sub-grid scale turbulence budget modelling (Kanda and Hino, 1994). The abilities of this model are due to the fact that  $\nu_t$  is calculated from two flow properties:  $k$  and  $\varepsilon$ , for the  $k - \varepsilon$  model. Because of their direct influence upon  $\nu_t$ , the modelled budget equations for  $k$  and  $\varepsilon$  must account for vegetation wakes. This was modelled by Green (1992) through additional source terms that have a significant

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influence, even downwind of the canopy (Liu et al., 1996). Source terms for  $k$  and  $\varepsilon$  involve between three to four additional budget terms, whose dimensionless coefficients lack a firm physical basis in some cases. This may explain the limited use of  $k - \varepsilon$  models in micrometeorology, despite their potential.

## 2. Model Equations

### 2.1. THE $k - \varepsilon$ MODEL

As a starting point, we consider a one-dimensional steady state, neutrally stratified, fully developed surface boundary-layer flow within a dense and extensive planar homogeneous canopy. Within the canopy, the modelled budget equation for the mean averaged flow velocity ( $U$ ) is

$$0 = \frac{d}{dz} \left( v_t \frac{dU}{dz} \right) + S_U, \quad (1)$$

where

$$v_t = C_\mu^{\frac{1}{4}} l_m k^{\frac{1}{2}}, \quad (2)$$

and  $l_m$  is the mixing length, and  $C_\mu$  may depart from its standard engineering ( $C_\mu = 0.09$ ) value for application to the atmospheric surface layer (Detering and Etling, 1985). The modelled budget equations for  $k$

$$0 = \frac{d}{dz} \left( \frac{v_t}{\sigma_k} \frac{dk}{dz} \right) + v_t \left( \frac{dU}{dz} \right)^2 - \varepsilon + S_k \quad (3)$$

and  $\varepsilon$

$$0 = \frac{d}{dz} \left( \frac{v_t}{\sigma_\varepsilon} \frac{d\varepsilon}{dz} \right) + C_{\varepsilon 1} C_\mu k \left( \frac{dU}{dz} \right)^2 - C_{\varepsilon 2} \frac{\varepsilon^2}{k} + S_\varepsilon \quad (4)$$

depend on the Schmidt numbers for  $k$  ( $\sigma_k$ ) and  $\varepsilon$  ( $\sigma_\varepsilon$ ), and on standard  $k - \varepsilon$  model constants that default (Launder and Spalding, 1974) to  $(C_{\varepsilon 1}, C_{\varepsilon 2}) = (1.44, 1.92)$ . Apart from the source terms ( $S$ ), these equations are standard. The budget equation for  $\varepsilon$  cannot be derived from the actual equations. It has been modelled (Equation (4)) consistently with  $k$  (Equation (3)) and the differential (Equation (6)) of Kolmogorov's relation

$$\varepsilon = C_\mu^{\frac{3}{4}} \frac{k^{\frac{3}{2}}}{l_m}, \quad (5)$$

which predicts that

$$\frac{d\varepsilon}{\varepsilon} = \frac{3}{2} \frac{dk}{k}, \quad (6)$$

when  $\frac{dl_m}{l_m} \approx 0$ .

## 2.2. SOURCE TERMS

Because of a possible reduction due to leaf fluttering (Laadhari et al., 1974), the viscous drag might be lower than expected within the canopy. Therefore, the viscous drag can be assumed negligible compared to form drag. Consequently,  $S_U$  (Equation (7)) depends on the form drag coefficient ( $C_X$ ) for the canopy,

$$S_U = -\frac{C_X}{2} U^2, \quad (7)$$

where  $C_X$  depends (Thom, 1971) on the one-sided vegetation surface density  $a_p$  (in  $\text{m}^{-1}$ ). We note that  $a_p$  partly cancels (Massman, 1997) through a dimensionless canopy drag coefficient ( $C_d$ ) defined as  $C_X = 2a_p C_d$  (Wilson and Shaw, 1977). In addition, vegetation elements break the mean flow motion into wake turbulence with a smaller length scale than the shear-generated turbulence. Therefore the canopy creates a net  $k$  loss (Green et al., 1995) despite wake enhancement of the  $k$  generation rate. This process is due to the rapid dissipation of wake eddies (Raupach and Shaw, 1982). It could be modelled (Green, 1992) with a source term for  $k$  (Equation (8)), being the sum of the wake  $k$  production rate ( $\propto \frac{C_X}{2} U^3$ ) with a sink ( $\propto \frac{C_X}{2} U k$ ) to account for the short-circuiting of the turbulence cascade,

$$S_k = \frac{C_X}{2} (\beta_P U^3 - \beta_d U k). \quad (8)$$

While  $\beta_P$  ( $\in [0, 1]$ ) is the fraction of mean airflow kinetic energy lost by drag that is converted into  $k$ , the dimensionless coefficient for the turbulence cascade short-circuiting ( $\beta_d$ ) has no clear physical basis (Green, 1992). For similar reasons, the different models for  $S_\varepsilon$  have little physical basis, beyond dimensional arguments. The simplest  $S_\varepsilon$  model (Equation (9)) is a logical extension of Kolmogorov's relation, which yields

$$S_\varepsilon = C_{\varepsilon 4} \frac{\varepsilon}{k} S_k. \quad (9)$$

An alternative model for  $S_\varepsilon$  is that proposed by Liu et al. (1996), which states that

$$S_\varepsilon = \frac{C_X}{2} \left( C_{\varepsilon 4} \beta_P \frac{\varepsilon}{k} U^3 - C_{\varepsilon 5} \beta_d U \varepsilon \right). \quad (10)$$

This latter (Equation (10)) model was intended as an improvement of the simpler (Equation (9))  $S_\varepsilon$  model that could not fit wind-tunnel data (Liu et al., 1996). Moreover, this latter model (Equation (10)) agrees with the fact that the derivation of  $C_{\varepsilon 4} = C_{\varepsilon 5} = 3/2$  from the differential of the Kolmogorov relationship lacks a clear rationale: the constant value  $3/2$  is valid for the bulk  $\varepsilon$  variation ( $d\varepsilon$ ), while  $S_\varepsilon$  is just a part of it. The different values ( $C_{\varepsilon 4} = 1.5$ ,  $C_{\varepsilon 5} = 0.4$ ) for the alternative  $S_\varepsilon$  model (Equation (10)) were justified by mixing length anisotropy. While mixing length anisotropy and its variation in the vertical (Katul and Chang, 1999) might be a limitation for  $k - \varepsilon$  models, the closure model (Equation (2)) predicts that the mixing length anisotropy rather influences  $v_t$ . Therefore, this latter  $S_\varepsilon$  model (Equation (10)) might be a palliative for yet undetermined reasons. Anyway, we will herein retain Equation (10) as a more general form than Equation (9) for  $S_\varepsilon$ .

### 3. Derivation of Analytical Constraints

#### 3.1. CANOPY FLOW VARIABLES

Within a dense and homogeneous canopy, far enough from any boundary, turbulence length scale measurements indicate that  $l_m$  does not vary significantly (Allen, 1968). With this assumption, the modelled momentum budget equation (Equations (1) and (7)) predicts an exponential decay for  $U$  (Perrier, 1967), using a first-order closure model,

$$v_t = l_m^2 \left( \frac{dU}{dz} \right). \quad (11)$$

This latter exponentially decaying velocity profile is the solution of

$$\frac{dU}{dz} = \gamma U, \quad (12)$$

where

$$\gamma = \frac{C_X}{2} (2\alpha^2)^{-\frac{1}{3}}. \quad (13)$$

This follows from a mixing length model that suits even second-order turbulence modelling purposes (Wilson and Shaw, 1977),

$$l_m = \frac{2\alpha}{C_X} \quad (14)$$

and involves its own dimensionless coefficient ( $\alpha$ ), which need not depend on vegetation density (Seginer, 1974). We expect that the 1.5-closure model of  $v_t$

(Equation (2)) collapses to the first-order one (Equation (11)) in such a simplified case where both models should be equally valid. This collapse in formulation allows  $k$  to be calculated from

$$k = \frac{\left(\frac{\alpha}{2}\right)^{\frac{2}{3}}}{C_{\mu}^{\frac{1}{2}}} U^2, \tag{15}$$

while  $\varepsilon$  (Equation (16)) follows from Kolmogorov's relation (Equation (5)) for high Reynolds number flow,

$$\varepsilon = \frac{C_X}{4} U^3. \tag{16}$$

### 3.2. SOURCE MODEL COEFFICIENTS

A substitution of the analytical expressions for flow variables (Equations (2), (12)–(16)) within the  $k$  modelled budget (Equations (3) and (8)) leads to a relation

$$\beta_d = C_{\mu}^{\frac{1}{2}} \left(\frac{2}{\alpha}\right)^{\frac{2}{3}} \beta_P + \frac{3}{\sigma_k} \tag{17}$$

that does not explicitly rely on  $C_X$  or  $l_m$ , and therefore might cancel any dependence on vegetation density for the remaining coefficients. Similarly

$$C_{\varepsilon 5} \beta_d = C_{\mu}^{\frac{1}{2}} \left(\frac{2}{\alpha}\right)^{\frac{2}{3}} \left( C_{\varepsilon 4} \beta_P - \frac{C_{\varepsilon 2} - C_{\varepsilon 1}}{2} \right) + \frac{6}{\sigma_{\varepsilon}} \tag{18}$$

derives from the  $\varepsilon$  modelled budget (Equations (4) and (10)). The above Equations (17) and (18) do not provide enough constraints for the less meaningful coefficients ( $\beta_d$ ,  $C_{\varepsilon 4}$  and  $C_{\varepsilon 5}$ ) to be calculated from the pair  $(\alpha, \beta_P)$ . Anyway,  $\beta_P$ ,  $\beta_d$ ,  $C_{\varepsilon 4}$  and  $C_{\varepsilon 5}$  should obey any constraint through

$$\begin{aligned} \left[ C_{\mu}^{\frac{1}{2}} \left(\frac{2}{\alpha}\right)^{\frac{2}{3}} C_{\varepsilon 5} \right] \beta_P + \left[ \frac{3C_{\varepsilon 5}}{\sigma_k} \right] &= \left[ C_{\mu}^{\frac{1}{2}} \left(\frac{2}{\alpha}\right)^{\frac{2}{3}} C_{\varepsilon 4} \right] \beta_P \\ &+ \left[ \frac{6}{\sigma_{\varepsilon}} - C_{\mu}^{\frac{1}{2}} \left(\frac{2}{\alpha}\right)^{\frac{2}{3}} \frac{C_{\varepsilon 2} - C_{\varepsilon 1}}{2} \right] \end{aligned} \tag{19}$$

implied by the previous relations (Equations (17) and (18)). Then, we intend to derive a set of coefficient values with the widest generality. LES require  $\beta_P \approx 0.1$  for sub-grid scale turbulence generation (Kanda and Hino, 1994), while published  $k - \varepsilon$  models used  $\beta_P = 1$ . Therefore, Equation (19) must be of a degenerate type in order to be satisfied with a single set of constants for any  $\beta_P$  value.

Otherwise, each set of coefficients  $(\beta_P, \beta_d, C_{\varepsilon 4}, C_{\varepsilon 5})$  for the solution of Equation (19) would be unique, and thus lose any generality. The coefficients of the linear relations with  $\beta_P$  on both sides of (Equation (19)) must then be equal. This implies

$$C_{\varepsilon 4} (= C_{\varepsilon 5}) = \sigma_k \left( \frac{2}{\sigma_\varepsilon} - \frac{C_\mu^{\frac{1}{2}}}{6} \left( \frac{2}{\alpha} \right)^{\frac{2}{3}} (C_{\varepsilon 2} - C_{\varepsilon 1}) \right) \quad (20)$$

and fixes  $C_{\varepsilon 4}$  and  $C_{\varepsilon 5}$  values independently of  $(\beta_P, \beta_d)$ . This independence is consistent with the modelled decoupling between  $S_\varepsilon$  (Equation (10)) coefficients. This finally checks that our estimates based on Equations (11)–(13), (15) and (16) are particular model solutions when the mixing length (Equation (14)) is a constant and Kolmogorov's relation (Equation (5)) holds.

#### 4. Conclusions

We derived two equations relating source term model coefficients. The former Equation (17) predicts the coefficient  $\beta_d$  for the turbulence cascade bypass within the canopy as a function of the wake  $k$  production coefficient ( $\beta_P$ ), the dimensionless product ( $\alpha$ ) of the mixing length with the drag coefficient, and standard  $k - \varepsilon$  model constants. Equation (20) gives the coefficient  $C_{\varepsilon 4}$ , which relates the source term for dissipation ( $S_\varepsilon$ ) to that for  $k$  ( $S_k$ ). Equation (20) has been designed to suit a broad range of canopy densities and LES sub-grid scale turbulence modelling, through its independence of  $(\beta_P, \beta_d)$ . Equation (20) asserts that  $C_{\varepsilon 4}$  is a constant for any given set of standard  $k - \varepsilon$  model constants, if  $\alpha$  also is a constant. These relations are required, but are not necessarily sufficient for the prediction of a high Reynolds number flow within the canopy. These expressions rely on a particular solution of the 1.5-order closure model within the canopy, and this analytical solution might help us derive similar expressions for various two-equation turbulence models, by analogy. Moreover, the substitution of this solution within modelled budget equations proves that Equation (10) for  $S_\varepsilon$  induces an implicit dependence between model coefficients. This dependence is not consistent with the reported forms for  $S_\varepsilon$ . This  $S_\varepsilon$  model has been shown to improve  $k$  predictions, in contrast with the model (Equation (9)). Among the possible explanations for Equation (9) failure, we note that the pair  $(\beta_P, \beta_d) = (1, 4)$  of values used to diagnose Equation (9) failure do not obey Equation (17). Moreover, setting  $\beta_P = 1$  implies that no mean kinetic energy is lost by viscous drag. This latter assumption seems unrealistic, though the  $\beta_P$  value might be significantly higher than 0.5 in most cases and possibly varying with vegetation density.

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